Laboratory Study into the Effect of Support Condition on Ballast Mat Bedding Modulus and Insertion Loss



Transportation Research Board 96th Annual Meeting Washington, D.C. 10 January 2017

Arthur de Oliveira Lima, Marcus S. Dersch, J. Riley Edwards and Erol Tutumluer





A Caterpillar Company

Outline

- Introduction
- Background and Motivation
- Goals for Research
- Laboratory Experiment Program
- Results from Laboratory Tests
- Statistical Analysis
- Prediction Model
- Conclusions
- Future Work





Introduction

- Ballast mats (under-ballast mats) are elastic pads installed under the ballast layer or concrete slab, depending on the type of track structure
- Typically manufactured using natural rubber, recycled tire rubber, or EPDM synthetic rubber





Mademann, C. and D. Otter. 2013. *Effects Of Ballast Depth And Degradation On Stresses In Concrete Bridges*. Transportation Technology Center, Inc.

Background and Motivation

- The study of ballast mats were started in the 1960's by the Japanese Railways for use in the Tokaido Shinkansen line
- European passenger and freight services have also used/studied ballast mats since early 1980's
- North America, Class I railroads have primarily used ballast mats on ballast deck bridges and tunnels with limited research being conducted to date
- Globally, the German DIN 45673-5 is the only standardized testing procedure available for determining component properties of ballast mats
- The growing interest in North America for this component has established a demand for the development of uniform and representative testing procedures

Goals for Research

- Major benefits from the use of ballast mats are dependent on its application environment:
 - Transit: reduction of ground-borne noise and vibrations
 - Freight: reduction of ballast degradation and track stiffness in transition zones
- The main objectives of this research are to:
 - Quantify ballast mat properties
 - Quantify ballast mat benefits
 - Study the effect of test variables (support, loading, etc.)



asuring

Primary airborne noise

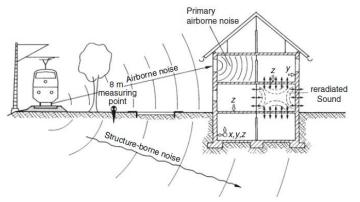
Müller, G. & M. Möser (Eds.). 2013. Handbook Of Engineering Acoustics. Springer Berlin Heidelberg, Berlin, Heidelberg.



Mademann, C. and D. Otter. 2013. Effects Of Ballast Depth And Degradation On Stresses In Concrete Bridges. Transportation Technology Center, Inc.

reradiated

- Major benefits from the use of ballast mats are dependent on its application environment:
 - Transit: reduction of ground-borne noise and vibrations
 - Freight: reduction of ballast degradation and track stiffness in transition zones
- The main objectives of this research are to:
 - Quantify ballast mat properties
 - Quantify ballast mat benefits
 - Study the effect of test variables (support, loading, etc.)



Müller, G. & M. Möser (Eds.). 2013. *Handbook Of Engineering Acoustics*. Springer Berlin Heidelberg, Berlin, Heidelberg.



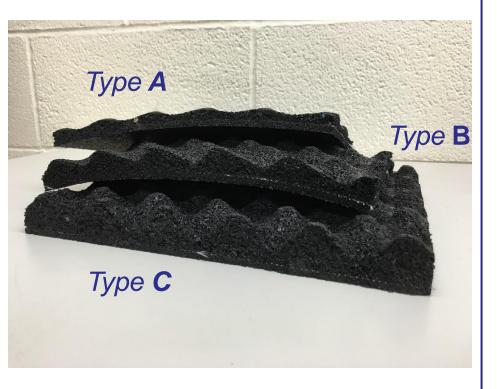
Mademann, C. and D. Otter. 2013. *Effects Of Ballast Depth And Degradation On Stresses In Concrete Bridges*. Transportation Technology Center, Inc.

Laboratory Experiment Program

- **Objective:** To determine component properties of ballast mats in controlled laboratory setting using various support conditions
- Location: Research and Innovation Laboratory (RAIL) at Schnabel, UIUC
 - Pulsating Load Testing Machine (PLTM):
 A biaxial loading frame owned by Progress Rail able to simulate various L/V force ratios
- Instrumentation: Potentiometers deployed to capture vertical ballast mat displacement at multiple locations
- Loading: servo hydraulic actuator used to apply vertical load to ballast mat



Ballast Mat Sample Types



- Ballast mat samples
 - Size : 10" x 10" (254 x 254 mm)
 - Thickness (Min / Max)
 - Type A:
 - 0.197" / 0.394" (5/10 mm)
 - Type B:
 - 0.315" / 0.670" (8/17 mm)
 - Type C:
 - 0.275" / 0.984" (7/25 mm)

Support Conditions

Geometric Ballast Plate (GBP)

- Standardized European apparatus (EN 16730:2016)
- 12" x 12" (300 x 300 mm) aluminum profiled plate that simulates ballast profile

- Concrete
 - 14" x 14" (356 x 356 mm)
 Concrete block
- Steel
 - 12" x 12" (305 x 305 mm)
 Steel plate placed over concrete block



Important Definitions

• Bedding Modulus:

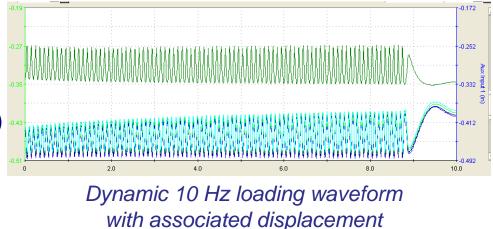
- The amount of force required to cause unit deflection in a unit area sample (lbs/in³ or N/mm³)
 - Static
 - Dynamic
- Insertion Loss:
 - Ratio of signal levels (vibration amplitudes) before and after the installation of a filter (i.e. ballast mat)

•
$$\Delta L = 20 \log\left(\frac{V_1}{V_2}\right)$$

Bedding Modulus Test Protocol

- Procedure heavily based on German standard DIN 45673 – Part 5
- Static Tests:
 - Quasi-static
 - Load
 - 0.2 3.8 kips (0.9 16.9 kN)
 - 3 cycles
- Dynamic Tests:
 - Frequencies: 5 Hz and 10 Hz
 - Loading
 - 0.4 3.8 kips (1.8 16.9 kN)
 - 10 sec. of sinusoidal loading
 - Data collected for last 10 cycles





3 2 5 0 2 5 -2.75 0 38.0 76.0 114.0 152.0 Time (Sec)

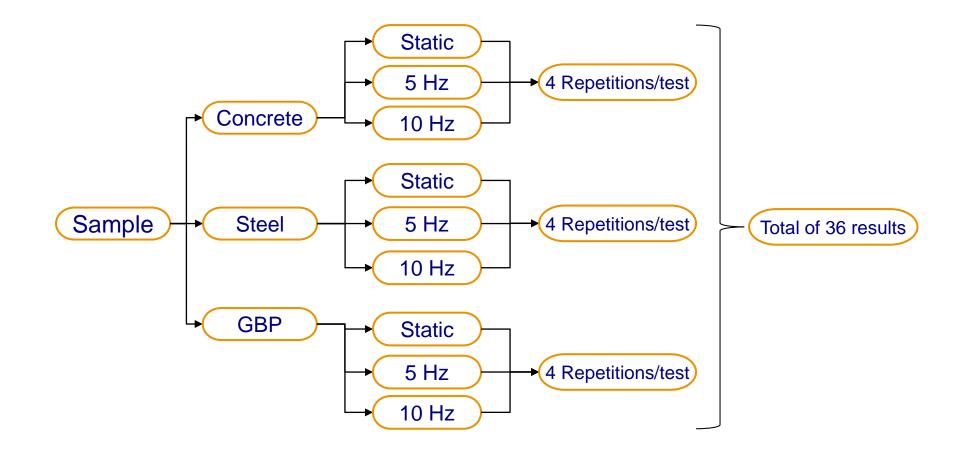
0.273

0.353

.0 433

-0.513

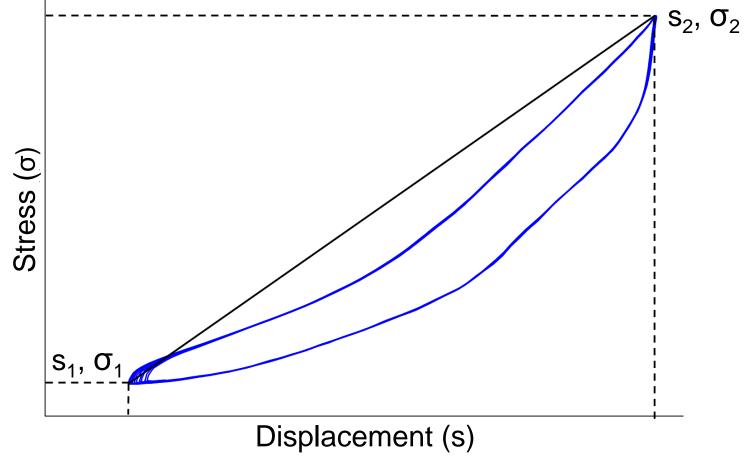
190.0



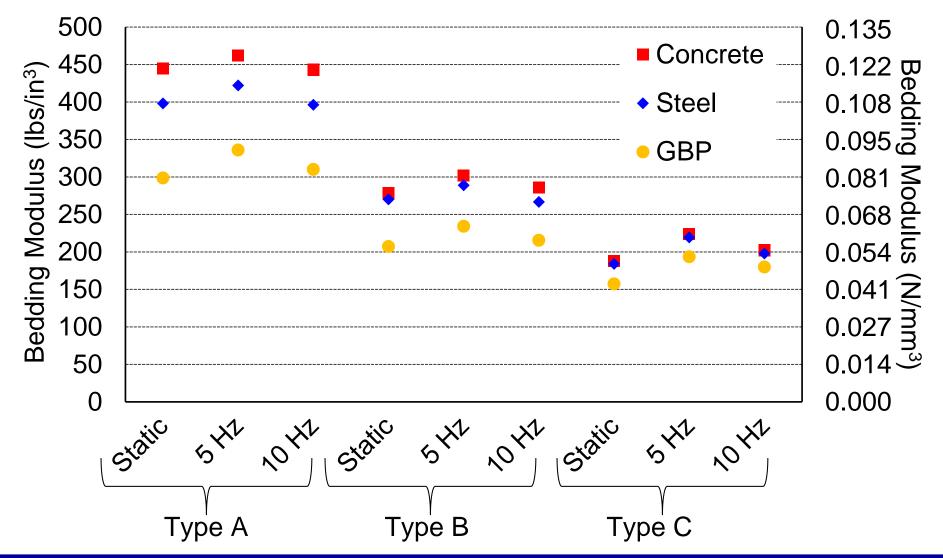
Results from Laboratory Tests Bedding Modulus

• Static and dynamic bedding modulus calculated using secant modulus

Secant Bedding Modulus = $(\sigma_2 - \sigma_1)/(s_2 - s_1)$



Results from Laboratory Tests Summary of Bedding Modulus Tests



Results from Laboratory Tests

- Consistency of testing was supported by a maximum 4.0% deviation from the mean for a single test procedure
- Results obtained using the GBP were 30% and 21% lower than their corresponding tests conducted with concrete and steel support respectively
- Bedding modulus values obtained with concrete support as a support were highest for all cases
- Effects of different test frequencies could not be investigated due to uncertainties with the results obtained for higher frequencies (i.e. 10 Hz)

Statistical Analysis

- Analysis of variance (ANOVA) conducted in order to determine variability of the results obtained
 - Tukey's Studentized Range test chosen for mean separation analysis.
 - Factorial treatment was applied to each of the sample distributions with treatments:
 - Support Condition: 3 levels (Concrete, Steel & GBP)
 - Loading Type: 3 levels (Static, 5Hz & 10 Hz)
- Type I error rate (α) = 0.01

– For all tests, ANOVA Model P-Value = <0.0001</p>

 Results from all support conditions were found to be statistically different

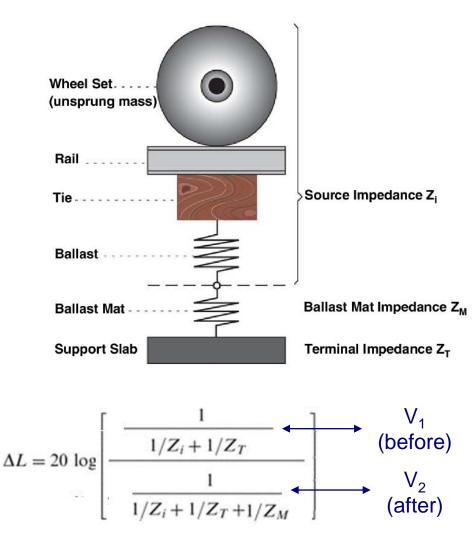
Insertion Loss Prediction Model

- To determine the predicted performance of these components, insertion loss was calculated based on bedding modulus values obtained
- Model developed by Wettschureck & Kurze (W&K) was chosen for yielding comparable results with field data available
- Inputs in the selected model include
 - Track structure characteristics
 - Loading environment
 - Ballast mat properties

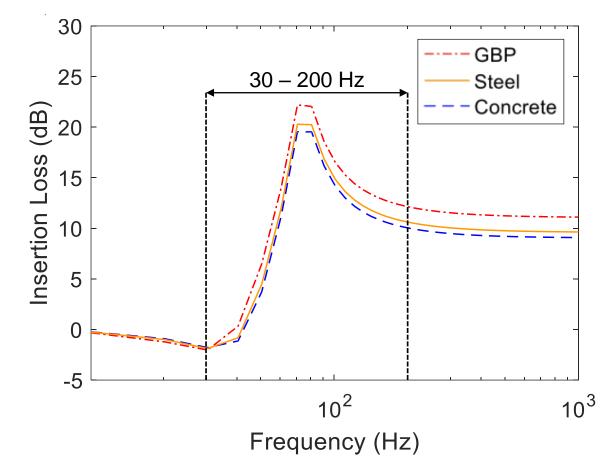
Configuration of superstructure				Po 1.		
Railtype:		AREA 136				
Type of sleeper:				2.		
Ballast mat type:	USM 4000	3.				
Floor:	B/M on reinforced concrete floor		4.			
Data railway track						
E-Modulus, rail, E:	2,06E+05	[N/mm ²]		5.		
Moment of inertia, rail, I:	3,95E+07	[mm4]		6,		
Section modulus, rail, W:	3,92E+05	[mm ²]		7.		
Weight/meter, rail, m _s :	67,56	[kg/m]		8.		
Tie spacing, a1:	0,61	[m]		9.		
Width, tie, bs:	0,27	[m]		10		
Length, tie, Is:	2,60	[m]		11		
Outstanding, tie, ü:	0,55	[m]	0.55	12		
Mass, tie, m _s :	320,00	[kg]	17.8054-12	13		
Spacing gauge, w:	1,435	[m]		14		
Height, ballast, h:	0.22	[m]		15		
Spec. gravity, ballast, r:	1,70E+03	[kg/m²]		16		
Bed moduli						
Bed modulus, ballast, Csch:	5,00E-01	[N/mm ^a]		17		
Bed modulus, B/M, C _{stat} :	1,00E-01	[N/mm ³]		18		
Bed modulus over all, Cstat.ges:	8,33E-02	[N/mm ³]		19		
Cdyn, floor, Cord:	0,35	[N/mm ^a]		20		
Cdyn. B/M, Cdyn:	0,179	[N/mm ^a]		21		
Approx. load range, os:	0.072	[MPa]		22		
Damping, D:	0,35	n i		23		
Geometry of track						
Straight line: (0), Curve: (1)	0	[]		24		
Quality of track, n: 0,1(+); 0,15 (0); 0,2 (-); 0,	25 () 0,1	ŭ		25		
Dynamic force Factor, kdyn:	1,31	ŭ		26		
Car type, freight: (1), passenger: (2)	1	ü		27		
Nominal axle load, Q:	363	[kN]	475	28		
Spacing between axles (bogie), a2:	2,40	[m]	71.0	29		
Max. speed, v:	65	[km/h]		30		
Centre spacing bogles, a3:	10,00	[m]		31		

Insertion Loss Prediction Model

- W&K theoretical model
 - One-dimensional
 - Single degree-of-freedom
 - Insertion loss obtained from three mechanical impedance values:
 - Source (Z_i)
 - Ballast Mat (Z_M)
 - Terminal (Z_T)
 - All impedance values are represented as complex numbers to account for the effect of damping



Insertion Loss Prediction – Sample A at 5 Hz



 GBP support condition (lower bedding modulus) consistently yields higher insertion loss for frequencies above 30 Hz, but it is most influenced at the peak insertion loss frequencies

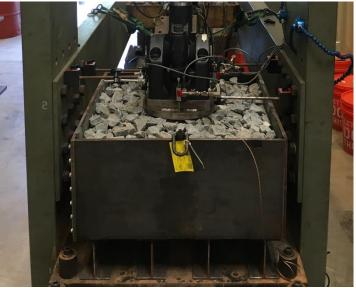
Conclusions

- The bedding moduli of a ballast mat is dependent on the support condition with which it is tested
 - GBP typically resulted in lowest values
 - Steel and Concrete yielded similar values
- The statistical analysis of the results corroborated the visual analysis of the results as to the difference between the bedding modulus values obtained from each support condition
- Sensitivity analysis provided a better understanding of the importance of standardizing the support condition used to obtain the dynamic bedding modulus values to be input in the prediction models
 - Maximum insertion loss difference between all support conditions of:
 - 3.0 dB for Type A
 - 2.4 dB for Type B
 - 1.2 dB for Type C

Future Work

- Mechanical fatigue strength tests
 - Ensure survivability
 - Comparison of bedding modulus before and after repeated load cycles
 - Quantify effect on ballast deterioration
 - Gradation
 - Ballast surface characteristics
 - Ballast geometry
 - Quantifying ballast mat's effects to the vertical transient deformations of a ballast structure over a rigid support
- Investigation into the impacts and viability of using the GBP setup as a substitute for the ballast box mechanical fatigue testing of ballast mats





Acknowledgements

Progress Rail A Caterpillar Company

- Funding for this project has been provided by:
 - Progress Rail
- For assistance with laboratory testing and manufacturing GBP:
 - UIUC Machine Shop

Thank You

Arthur De Oliveira Lima

Graduate Research Assistant email: aolima@illinois.edu

Marcus S. Dersch

Senior Research Engineer email: mdersch2@illinois.edu



J. Riley Edwards

Research Scientist and Senior Lecturer email: jedward2@illinois.edu

Erol Tutumluer

Professor email: tutumlue@illinois.edu





Appendix

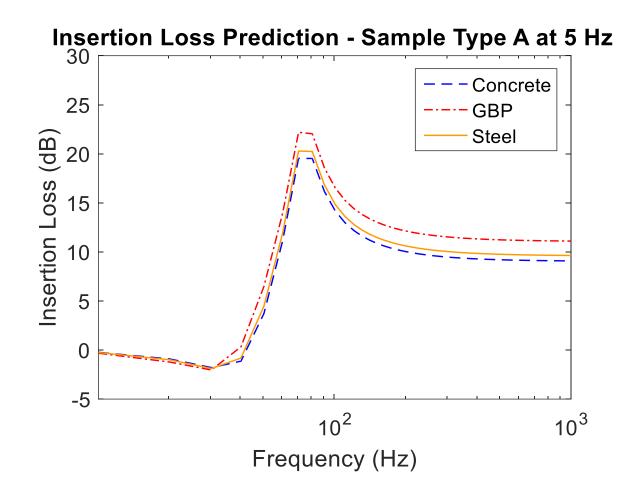
Statistical Analysis Summary of Results

Grouping	g Mean	Support Condition	Grouping	Mean	Loading Type
			Туре А		
Α	449.964	Concrete	Α	406.745	Static
В	405.504	Steel	В	383.19	Dynamic 5 Hz
С	314.918	GBP	В	380.451	Dynamic 10 Hz

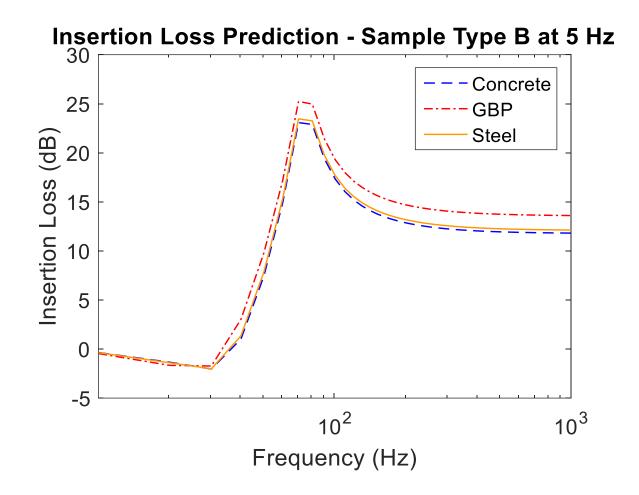
Туре В					
Α	288.869 (Concrete	Α	275.14	Static
В	275.268	Steel	В	256.067	Dynamic 5 Hz
С	219.042	GBP	В	251.971	Dynamic 10 Hz

Туре С					
Α	204.71	Concrete	Α	212.232	Static
В	200.422	Steel	В	193.306	Dynamic 5 Hz
С	176.956	GBP	С	176.551	Dynamic 10 Hz

Sensitivity Analysis Type A Sample



Sensitivity Analysis Type B Sample



Sensitivity Analysis Type C Sample

